

Thermodynamic entropy production: Measure of quantum frameness

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Von Neumann S vs thermodynamic S^{th} entropies

Homogeneous equilibrium reservoir at temperature $k_B T = 1/\beta$ and volume V , with Hamiltonian H :

$$\rho_\beta = Z_\beta^{-1} e^{-\beta H} .$$

Von Neumann (microscopic) entropy:

$$S(\rho_\beta) =: -\text{tr}(\rho_\beta \log \rho_\beta)$$

coincides with the thermodynamic (macroscopic) entropy S^{th} in the thermodynamic limit $V \rightarrow \infty$.

For non-equilibrium: general proof is missing. Let's enforce the coincidence of von Neumann and thermodynamic entropy productions.

Issue: ΔS is zero as long as $\rho_\beta \rightarrow U \rho_\beta U^\dagger$, while $\Delta S^{\text{th}} > 0$.

Solution: a 'graceful' irreversible map

$$\rho \rightarrow \mathcal{M}\rho$$

constrained by

$$\Delta S =: S(\mathcal{M}U\rho_\beta U^\dagger) - S(\rho_\beta) = \Delta S^{\text{th}} .$$

Key quantity will be the *relative q-entropy*:

$$S(\sigma|\rho) =: \text{tr}[\sigma(\log \sigma - \log \rho)] .$$

S and S^{th} in non-equilibrium

Apply an external field, limited in space and time:

$$\rho_\beta \rightarrow \rho'_\beta = U\rho_\beta U^\dagger .$$

To engineer von Neumann entropy production, we assume an irreversible map \mathcal{M} to be specified later:

$$\Delta S =: S(\mathcal{M}\rho'_\beta) - S(\rho_\beta) > 0 .$$

To make it equal with ΔS^{th} , we need ΔS^{th} 's microscopic expression!
The field performs work:

$$W =: \text{tr}(H\rho'_\beta) - \text{tr}(H\rho_\beta) = \text{tr}[(\rho'_\beta - \rho_\beta)H] .$$

From ρ_β , express $H = -\beta^{-1} \log(Z_\beta \rho_\beta)$, and consider $\rho'_\beta = U\rho_\beta U^\dagger$:

$$W = -\beta^{-1} \text{tr}[(\rho'_\beta - \rho_\beta) \log \rho_\beta] = \beta^{-1} S(\rho'_\beta | \rho_\beta) .$$

Suppose W is completely dissipated, i.e.: $\Delta S^{\text{th}} = W/k_B T = \beta W$,
hence:

$$\Delta S^{\text{th}} = S(\rho'_\beta | \rho_\beta) > 0 .$$

We'll find \mathcal{M} such that $\Delta S = \Delta S^{\text{th}}$ for $V \rightarrow \infty$.

A graceful irreverzible map \mathcal{M}

$$\lim_{V \rightarrow \infty} [S(\mathcal{M}\rho'_\beta) - S(\rho_\beta)] = \lim_{V \rightarrow \infty} S(\rho'_\beta | \rho_\beta) .$$

\mathcal{M} is 'graceful' if it preserves the free dynamics of the reservoir:

$$\mathcal{M} [e^{-itH} \rho e^{itH}] \equiv \mathcal{M}\rho \quad \text{for all } \rho .$$

Hint from Maxwell gas (D. 2002), spin chain (D., Feldmann, Kosloff 2006): \mathcal{M} is complete permutation of molecules/spins.

This time we consider a correlated many-body system in box V with periodic boundary conditions. Let $U(x)$ *translate the frame* by the spatial vector x . (Don't confuse $U(x)$ with the local perturbation U .)

If the Hamiltonian is translation invariant, so is the equilibrium state:

$$U(x)HU(-x) \equiv H \quad \implies \quad U(x)\rho_\beta U(-x) \equiv \rho_\beta .$$

The non-equilibrium state $\rho'_\beta = U\rho_\beta U^\dagger$ is not. For it, consider the following irreversible map:

$$\mathcal{M}\rho'_\beta = \frac{1}{V} \int_{x \in V} U(x)\rho'_\beta U(-x) dx .$$

This map is 'graceful' and *makes S increase by ΔS^{th}* .

Proof, 1st part

$$\lim_{V \rightarrow \infty} [S(\mathcal{M}\rho'_\beta) - S(\rho_\beta) - S(\rho'_\beta|\rho_\beta)] = 0 .$$

Extension of the rigorous method (of Csiszár, Hiai, Petz 2007). Inspect the identity (from translation inv.):

$$S(\mathcal{M}\rho'_\beta|\rho_\beta) = -S(\mathcal{M}\rho'_\beta) + S(\rho'_\beta) + S(\rho'_\beta|\rho_\beta) .$$

Hence the eq. to be proven becomes:

$$\lim_{V \rightarrow \infty} S(\mathcal{M}\rho'_\beta|\rho_\beta) = 0 .$$

The Hiai-Petz (1991) lemma:

$$S(\sigma|\rho) \leq S_{BS}(\sigma|\rho) ,$$

where $S_{BS}(\sigma|\rho) = \text{tr}[\sigma \log(\sigma^{1/2} \rho^{-1} \sigma^{1/2})]$ is the Belavkin-Staszewski relative entropy which one re-writes in terms of the function $\eta(s) = -s \log s$:

$S_{BS}(\sigma|\rho) = -\text{tr}[\rho \eta(\rho^{-1/2} \sigma \rho^{-1/2})] \geq 0$. Let us chain the Klein and the Hiai-Petz inequalities for $\sigma = \mathcal{M}\rho'_\beta$ and $\rho = \rho_\beta$:

$$0 \leq S(\mathcal{M}\rho'_\beta|\rho_\beta) \leq S_{BS}(\mathcal{M}\rho'_\beta|\rho_\beta) = -\text{tr}[\rho \eta(\mathcal{M}E_\beta)] ,$$

where $E_\beta = \rho_\beta^{-1/2} \rho'_\beta \rho_\beta^{-1/2}$ and $\mathcal{M}E_\beta = \frac{1}{V} \int U(x) E_\beta U(-x) dx$. If we prove $\mathcal{M}E_\beta = I$ for $V \rightarrow \infty$, it means $\eta(\mathcal{M}E_\beta) = 0$. Then the above inequalities yield $S(\mathcal{M}\rho'_\beta|\rho_\beta) = 0$ for $V \rightarrow \infty$, which will complete the proof.

Proof, 2nd part

For $\mathcal{M}E_\beta = I$, we use heuristic arguments. We consider second quantized formalism where all quantized fields satisfy $A(x, t) = \exp(itH)A(x) \exp(-itH)$. Assume pair-potential that vanishes at $> \ell$. It is plausible to assume that perturbations have a maximum speed v of propagation. Hence, at any given time t after the unitary perturbation $\rho'_\beta = U\rho_\beta U^\dagger$ e.g. around the origin, there exists a finite volume of radius r such that

$$[U, A(x, t)] = 0 \quad \text{for all } |x| > r$$

and for all local quantum fields $A(x, t)$. Let us write E_β in the form $E_\beta = \rho_\beta^{-1/2} U \rho_\beta U^\dagger \rho_\beta^{-1/2} = u_\beta u_\beta^\dagger$ with

$$u_\beta = \rho_\beta^{-1/2} U \rho_\beta^{1/2} = e^{\beta H/2} U e^{-\beta H/2} .$$

u_β is the (non-unitary) equivalent of U , transformed by the operator $e^{\beta H/2}$. By analytic continuation $\beta \Rightarrow i\beta$ and because of finite speed of perturbations, the operator u_β and thus E_β , too, will commute with all remote fields:

$[u_\beta, A(x, t)] = [E_\beta, A(x, t)] = 0$ provided $|x| \gg r + v\beta$. Take the infinite volume limit $V \rightarrow \infty$! Since the sub-volume where $A(x, t)$ do *not* commute with E_β is finite and since E_β is a bounded operator, the averaged operator $\mathcal{M}E_\beta$ will commute *with all fields* $A(x, t)$ for *all* coordinates x ! Hence $\mathcal{M}E_\beta = \lambda I$ and the identity $\text{tr}(\rho_\beta \mathcal{M}E_\beta) = \text{tr}(\rho_\beta E_\beta) = 1$ yields $\lambda = 1$.

Realistic versions of \mathcal{M}

Graceful irreversible map \mathcal{M} at less artificial conditions: many-body system in infinite V .

$$\mathcal{M}\rho'_\beta = \lim_{R \rightarrow \infty} \frac{1}{8\pi R^3} \int e^{-|x|/R} U(x)\rho'_\beta U(-x) dx .$$

It's plausible that \mathcal{M} makes the reservoir *forget* the information about the *location* of perturbation, that amounts exactly to the thermodynamic entropy production.

A real quantum reservoir would gracefully forget the *location* of perturbation. It does not need to forget it immediately; it may do it at any later time. It does not need to forget it completely; it may do it on a certain finite scale R of *spatial frame coarse-graining*. In concrete cases, the information loss can be well saturated at some finite scale $R \gg r + v\beta$. Instead of the spatial frame, the temporal one can be made forgotten:

$$\mathcal{M}\rho'_\beta = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_{-\infty}^0 e^{t/\tau} U(-t)\rho'_\beta U(t) dt ,$$

where $U(t) = \exp(-iHt)$. This state is definitely different from the result of spatial averaging. Conjecture: for $\tau, V \rightarrow \infty$ it gains the same entropy.

Frameness

is about physical definiteness of a coordinate system.

Example: linear coordinates represented by spin chain (discret), or many-body system (continuous).

If the state is translation invariant (with periodic boundary), e.g.:

$$\rho = \sigma \otimes \sigma \otimes \sigma \otimes \sigma \otimes \sigma \otimes \sigma \otimes \sigma \otimes \sigma \otimes \cdots \otimes \sigma ,$$

$$\rho = \rho_\beta \quad (\text{Gibbs with } U(x)HU(-x) = H) ,$$

then $\text{frameness}=0$. If the state is translation non-invariant, e.g.:

$$\rho' = \sigma \otimes \sigma' \otimes \sigma \otimes \sigma \otimes \cdots \otimes \sigma ,$$

$$\rho' = U\rho_\beta U^\dagger \quad (\text{local pert. of } \rho_\beta) ,$$

then $\text{frameness}> 0$.

What could be the measure of frameness?

Twirl

A 'closest' invariant state by *twirl* \mathcal{W} :

$$\rho' \Rightarrow \mathcal{W}\rho' =: \frac{1}{V} \int_{x \in V} U(x)\rho'U(-x)dx .$$

Let frameness of ρ' be measured by twirl's entropy gain (Vaccaro, Anselmi, Wiseman & Jacobs, 2008):

$$F(\rho') = S(\mathcal{W}\rho') - S(\rho') .$$

Theorem (Gour, Marvian, Spekkens 2009):

$$S(\mathcal{W}\rho') - S(\rho') =: S(\rho'|\mathcal{W}\rho') .$$

So, the informatic measure of frameness is the relative entropy of the twirled state w.r.t. the state itself:

$$F(\rho') = S(\rho'|\mathcal{W}\rho') .$$

That's similar and related to the concept of the 'graceful' irreversible map \mathcal{M} , obtained from the principle of equivalence between thermodynamic and informatic entropy productions (Diósi, Feldmann, Kosloff 2007). For the simplest \mathcal{M} , we have $\mathcal{M} = \mathcal{W}$.

Summary

$$\lim_{V \rightarrow \infty} [S(\mathcal{M}\rho') - S(\rho')] = \lim_{V \rightarrow \infty} S(\rho'|\rho),$$

where $U(x)\rho U(-x) \equiv \rho$, $\rho' = U\rho U^\dagger$, and









$$\mathcal{M}\rho' = \frac{1}{V} \int_{x \in V} U(x)\rho' U(-x) dx.$$

This is a novel mathematical theorem for the entropy gain of complete frame averaging. We (DFK 2006) came to such conjecture by postulating a calculable model of both thermodynamic and von Neumann entropy gain. Mathematicians proved it, found it relevant to a certain quantum channel capacity problem (Csiszár, Hiai & Petz 2007). Others (Vaccaro et al, Gour et al), independently, found a related theorem to quantify the quality of reference frames (frameness):

$$S(\mathcal{M}\rho') - S(\rho') = S(\rho'|\mathcal{M}\rho') \quad (\text{for all } \rho').$$

Nature would gracefully produce irreversibility just by twirling our reference frames (or, equivalently, by twirling matter). Then Nature is producing the observed thermodynamic irreversibility - at least in our calculable models. Whether this is the real and ultimate way for Nature to 'forget' microscopic data remains an open question.

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